

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No.:	50P4530.01
	First Inventor:	Thomas Patrick Dawson
	Title:	MEMORY SYSTEM, COMPUTER SYSTEM, PROCESSING UNIT AND METHOD
	Express Mail Label No.:	ER 19563968 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS (see MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form in duplicate (Submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification Total Pages <input type="text" value="18"/> (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets <input type="text" value="5"/> 5. Oath or Declaration a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/ divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet under 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-4 (2 copies); ii. <input type="checkbox"/> or paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) <input checked="" type="checkbox"/> Power of Attorney Statement (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> IDS <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 15. <input type="checkbox"/> Certified Copy of Priority Document 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SH/35 or its equivalent. 17. <input type="checkbox"/> Other:

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-Part (CIP)	Prior Appl. No.	<input type="text" value="09/797,458"/>
Prior Appl. information:	Examiner:	<input type="text" value="Nassar G. Moazzami"/>	Group/Art Unit:	<input type="text" value="2187"/>

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<input type="text" value="27774"/>	or	<input type="checkbox"/> Correspondence address below
Name	Karin L. Williams		
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	251 North Avenue West, 2 <sup>nd</sup> Floor		
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		Fax	908-518-7795
Name	Karin L. Williams	Registration No.	36,721
SIGNATURE	<i>Karin L. Williams</i>		Date <input type="text" value="7/14/03"/>

22002 U.S. PTO

10/619045



07/14/03

31656 U.S. PTO

# FEE TRANSMITTAL

Patent fees are subject to annual revision

## Complete if Known

Application Number: Unassigned  
 Filing Date: Filed Herewith  
 First Named Inventor: Thomas Patrick Dawson  
 Examiner Name: Unassigned  
 Group Art Unit: 2187  
 Attorney Docket No.: 50P4530.01

TOTAL AMOUNT OF PAYMENT (\$ 906

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to.

Deposit Account Number: 50-1047  
 Deposit Account Name: Mayer Fortkort & Williams

☒ Charge Any Additional Fee required under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Small Fee Code	Large Fee (\$)	Small Fee (\$)	Fee Description	Fee Paid
101	201	750	375	Utility filing fee	750
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 750

### 2. EXTRA CLAIM FEES

Total Claims: 24  
 Independent Claims: 4  
 Multiple Dependent: 20  
 Previously Paid: 20  
 Extra Claims: 4  
 Fee from below: 18  
 Fee Paid: 72

Large Fee Code	Small Fee Code	Large Fee (\$)	Small Fee (\$)	Fee Description
103	203	18	9	Claims in excess of 20
102	202	84	42	Independent claims in excess of 3
104	204	280	140	Multiple dependent claim, if not paid
109	209	84	42	* Reissue independent claims over original patent
110	210	18	9	* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 158 40

\*OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.  
 \*For Reissues, see above

### SUBMITTED BY

Name (Print/Type): Karin L. Williams

Signature

*Karin L. Williams*

Registration No.: 36,721

Date

Telephone: 908 518-7700

Date: 7/14/03

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES


Large Fee Code	Small Fee Code	Large Fee (\$)	Small Fee (\$)	Fee Description
105	205	130	65	Surcharge - late filing fee or oath
127	227	50	25	Surcharge - late Provisional filing
139	239	130	65	Non-English specification
147	247	2520	1260	For filing a request for ex parte Reexamination
112	212	920*	460*	Requesting publication of SIR prior to Examiner action
113	213	1840*	920*	Requesting publication of SIR after Examiner action
115	215	110	55	Extension for reply within first month
116	216	400	200	Extension for reply within second month
117	217	920	460	Extension for reply within third month
118	218	1440	720	Extension for reply within fourth month
128	228	1960	980	Extension for reply within fifth month
119	219	320	160	Notice of Appeal
120	220	320	160	Filing a brief in support of an appeal
121	221	280	140	Request for oral hearing
138	238	1510	755	Petition to institute a public use proceeding
140	240	110	55	Petition to revive - unavoidable
141	241	1280	640	Petition to revive - unintentional
142	242	1280	640	Utility issue fee (or reissue)
143	243	460	230	Design issue fee
144	244	620	310	Plant issue fee
122	222	130	65	Petitions to the Commissioner
123	223	50	25	Processing fee under 37 CFR 1.17(g)
126	226	180	90	Submission of IDS
581	281	40	20	Recording each patent assignment per property (times number of parties)
146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	279	740	370	Request for Continued Examination (RCE)
169	269	900	450	Request for expedited examination of a design application

Other fee (specify):

\* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$

Complete (if applicable)

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Thomas Patrick Dawson			Docket No. 50P4530.01
Serial No. Unassigned	Filing Date Filed Herewith	Examiner Unassigned	Group Art Unit 2187
Invention: MEMORY SYSTEM, COMPUTER SYSTEM, PROCESSING UNIT AND METHOD			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"><p>New U.S. Continuation Patent Application</p></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>7/14/03</u> <i>(Date)</i></p> <p style="text-align: center;"><u>Marjorie Scariati</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u></u> <i>(Signature of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u>ER 195963968 US</u> <i>("Express Mail" Mailing Label Number)</i></p>			
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>			